



PEAK RETIREMENT

♦ PLANNING, INC. ♦

QUESTIONS TO ASK YOUR FINANCIAL PLANNER

Selecting a financial planner is a major decision that will impact you and your family for years to come.

At Peak Retirement Planning, we recommend you work with someone you trust and whose values align with yours.

To help you through this process, we have assembled a list of essential questions to ask your next or current Financial Planner.

QUESTIONS:

1. What are their professional credentials? Are they a Certified Financial Planner (CFP)?
2. Are they a fiduciary (you can trust them to act in your best interest)?
3. Do they specialize in retirement planning?
4. What is their investment philosophy?
5. Are they captive (meaning they can only offer specific solutions that may not necessarily be the best available)?
6. What is their planning process? How does it serve you now and in the future? (For example, Peak Retirement Planning, Inc.'s *Simplified Retirement Plan* is designed to get clients to and through retirement based on their evolving needs).
7. Do they offer holistic planning (i.e., legacy planning, healthcare planning, investment management, retirement income, etc.)?
8. Do they offer tax planning? (For example, Peak Retirement Planning, Inc. works with clients on strategies such as Roth Conversions and annually looks over tax returns).
9. What would total fees be (including management fees, internal expenses, platform fees, trading costs, etc.)?
10. How many years do they expect to stay in business and be your advisor?

Peak Retirement Planning, Inc.

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Investment Advisory Services and Insurance Services are offered through Peak Retirement Planning, Inc., a Registered Investment Advisor.